On behalf of our client, **BakerRipley** (“Tenant”), we are pleased to provide you with a Request for Proposal (“RFP”) 23-11 Lease for Head Start and Early Head Start (as amended from advertisement) for multiple requirements supporting the Head Start and Early Head Start programs. Properties considered in whole, in-part, or individually. This RFP is intended to provide Tenant with an indication of projected occupancy costs for a property over the lease term. Please enter your comments to each of the following immediately after the “Landlords Response” for each item.

The ideal property would include high visibility in a well-maintained retail center environment with a minimum of 4,000 square feet and a maximum of 10,000 square feet. The property must be close to public transportation and include a parking requirement with a parking ratio of approximately 5 spaces per 1,000 square feet.

**We are looking for space in the following submarket location:**

East of Highway 6, West of Beltway 8, South of Westpark Tollway, North of Lake Olympia Pkwy

Determinations for the selected property will be based on scoring allocated to the tour of the property (50 points), answers to this attached document and forms (25 points), and cost effectiveness of rental price (20 points).

**We would appreciate receiving your response to this RFP, by electronic format, no later 10:00 AM Central, August 11, 2023 the deadline for initial consideration under this RFP. This RFP will close October 11, 2023, or when a Lease is fully executed, whichever may occur sooner.** Forward your RFP response to joan.gee@svn.com. Late or incomplete responses may be deemed unresponsive. Thank you in advance for the time and attention that you will spend in responding to this RFP. Should you have any questions about this RFP, please do not hesitate to contact our office at 713-515-2542.

Sincerely,

![Signature]

Joan Gee  
Senior Advisor  
SVN J. Beard Real Estate Greater Houston  
713.515.2542 C  
joan.gee@svn.com
<table>
<thead>
<tr>
<th>Quality</th>
<th>Landlord’s Response:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. What year was the building built?</td>
<td></td>
</tr>
<tr>
<td>2. What is the construction type of the building? (Ex. Steel frame, concrete frame, masonry, or other)</td>
<td>Steel Frame ☐ Concrete Frame ☐ Composite ☐ Other ☐</td>
</tr>
<tr>
<td>3. Has the building previous flooded______ If yes, a. What flood zone is the property located in? b. What remedies were taken to rectify the past flood event? c. Describe the flood severity d. What preventative measures have been taken in preparation to respond to a future flood event?</td>
<td>a. __________________________ b. __________________________ c. __________________________ d. __________________________</td>
</tr>
<tr>
<td>4. Does the site meet 2012 TAS (Texas Accessibility Standards) for accessible parking spaces, accessible routes and ramps? *2012 Texas Accessibility Standards page 5 below</td>
<td></td>
</tr>
<tr>
<td>5. What is the ratio of parking spaces to lease space per square foot leased?</td>
<td></td>
</tr>
<tr>
<td>6. How many parking spaces are available adjacent the proposed lease space?</td>
<td></td>
</tr>
<tr>
<td>7. Is exterior signage allowed on the building? a. Is signage provide by landlord? b. If not, what are your design requirements?</td>
<td>a. __________________________ b. __________________________</td>
</tr>
<tr>
<td>8. Please describe any building enhancements planned for the property in the next 1-3 years and the status of the work.</td>
<td></td>
</tr>
</tbody>
</table>

**General**

<table>
<thead>
<tr>
<th>1. Please indicate if the building has been foreclosed, posted for foreclosure, for sale, or under contract for sale?</th>
<th>Foreclosure or Pending Sale</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Foreclosure</td>
</tr>
<tr>
<td></td>
<td>YES ☐ NO ☐</td>
</tr>
<tr>
<td></td>
<td>For Sale or under contract for Sale</td>
</tr>
<tr>
<td></td>
<td>YES ☐ NO ☐</td>
</tr>
<tr>
<td></td>
<td>Explanation:</td>
</tr>
</tbody>
</table>
2. What is the available sq. footage?  

3. What is the earliest date Tenant can access the Building?  

4. Tenant shall have no obligation to restore the Leased Premises, subsequently leased space, or storage areas at the end of the Lease Term or Renewal Option Period, including, but not limited to, the removal or paying for the removal of cabling and wiring. Tenant’s sole obligation is to return the Leased Premises or subsequently leased space and storage areas in reasonable condition, normal wear and tear accepted.  

5. Should Government funding be cancelled, Tenant requires the option to terminate the Lease with 6 months written notice to Landlord. Tenant shall pay monthly rents and other obligations during the 6-month notice period and all then unamortized upfront costs incurred by Landlord in connection with this Lease. Landlord shall provide and attach the amortization schedule, identifying all costs involved, to the Lease Agreement as an Exhibit.  

6. Tenant shall require the option to renew the Lease for a like term equal to the initial lease term, at the then Fair Market Rental Rate. Tenant shall have the right to reject any renewal offers at its sole discretion.  

7. Tenant shall have the right, subject to Landlord’s consent, which shall not be unreasonably withheld, conditioned or delayed, to Assign or Sublease any portion of the Leased Premises or subsequently leased space, at any time during the Lease Term and renewal options, without restrictions on rent paid by or concessions provided to any transferees, subject to mutually agreeable terms.  

8. Do you agree that it is Landlord’s responsibility to remove unwanted improvements and have the Leased Premises ready for a new Tenant (this may include walls, doors, frames, hardware, ceiling assembly, cabling, conduits/piping, ductwork, electrical systems, and plumbing lines).  

   This also includes removal of all Asbestos Containing Building Materials and provision that no such materials remain in the lease space Identified in an Assessment Report. *Hazardous Materials Clause page 5 below  

   If Applicable, Landlord, at Landlord’s sole expense, shall be responsible for the cost to divide the existing area, including, but not limited to: 1) construction of a suite demising wall, to deck, between Premises and adjacent premises and between Leased Premises and common areas.
9. Please provide the current condition, capacity, and life expectancy of the following:

<table>
<thead>
<tr>
<th>a. Foundation (cracks, shifting, unevenness, etc.)</th>
<th>□ Improvement Needed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Comments:</td>
<td>□ Good</td>
</tr>
<tr>
<td></td>
<td>□ Recent Improvement</td>
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<td></td>
<td>Date: ________</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>b. Exterior walks (cracks, joints, unevenness)</th>
<th>□ Improvement Needed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Comments:</td>
<td>□ Good</td>
</tr>
<tr>
<td></td>
<td>□ Recent Improvement</td>
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<td></td>
<td>Date: ________</td>
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</tbody>
</table>

| c. Exterior walls, including windows and doors (last improvement date) |
| 1. Doors                                                          |
| Comments:                                                          |
|                                                           | □ Improvement Needed |
|                                                           | □ Good                |
|                                                           | □ Last Improvement    |
|                                                           | Date: ________        |

  2. Windows
  Comments:                                                          |
  □ Improvement Needed |
  □ Good                |
  □ Last Improvement    |
  Date: ________        |

| d. Site Improvements                                          |
| 1. Parking surface /Curbs                                    |
| Comments:                                                    |
|                                                           | □ Improvement Needed |
|                                                           | □ Good                |
|                                                           | □ Recent Improvement  |
|                                                           | Date: ________        |

  2. Parking Lot Striping
  Comments:                                                    |
  □ Improvement Needed |
  □ Good                |
  □ Recent Improvement  |
  Date: ________        |

  3. Exterior Lighting
  a. Parking
  Comments:                                                    |
  □ Improvement Needed |
  □ Good                |
  □ Recent Improvement  |
  Date: ________        |
<table>
<thead>
<tr>
<th>4. Landscape</th>
<th></th>
<th>Improvement Needed</th>
<th></th>
<th>Good</th>
<th></th>
<th>Recent Improvement</th>
<th></th>
<th>Date</th>
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</thead>
<tbody>
<tr>
<td>b. Covered Walkways</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>Comments</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>a. Irrigation System</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
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<tr>
<td>Comments</td>
<td></td>
<td>□ Improvement Needed</td>
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<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
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<tr>
<td>5. Tenant Signage Location</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>a. Monument</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
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<tr>
<td>Comments</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>6. Stormwater Drainage System / Capacity</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>a. System Type</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>Comments</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>7. Roof</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>a. Manufacturer</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>b. Type</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>c. Life Cycle</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>8. Water/Wastewater</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>a. Service Line Size</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>b. Sanitary Line Size</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>9. Electrical Service</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>a. Primary Electrical Service</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
<tr>
<td>b. Service Disconnect Size</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
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<tr>
<td>c. Service Panel Size</td>
<td></td>
<td>□ Improvement Needed</td>
<td></td>
<td>□ Good</td>
<td></td>
<td>□ Recent Improvement</td>
<td></td>
<td>Date ______</td>
</tr>
</tbody>
</table>
10. Fire Sprinkler / Suppression System

<table>
<thead>
<tr>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ Improvement Needed</td>
</tr>
<tr>
<td>☐ Good</td>
</tr>
<tr>
<td>☐ Recent Improvement</td>
</tr>
</tbody>
</table>

Date _________

11. HVAC unit(s)
   a. Type______________________________
   b. Manufacture________________________
   c. Age_______________________________
   d. Maintenance History_________________

Financial

1. Are you agreeable to a 60-month term?  YES ☐ NO ☐

2. What is the proposed Base Rent and Lease Type (i.e. NNN, Full Service, etc.)
   Proposed Base Rent $___________ psf
   Lease Type: ____________

3. What are the current year total Operating Expenses psf?
   a. Current year Property Taxes psf
   b. Current year Insurance psf
   c. Current year Utilities psf
   d. Current year controllable OpEx psf
   e. What % increase do you anticipate in the next year’s budget?

4. What is the amount of Tenant Improvement allowance that you will contribute for the term of the lease?  YES ☐ NO ☐
   If yes, $_______ psf

5. Landlord shall be responsible for providing any necessary repairs or replacements of HVAC units connected to the Premises resulting in each unit being fully operational to manufacturer’s specification and which will satisfy any permitting requirements that may be needed.
   YES ☐ NO ☐
   Tenant Maintenance Cap $_______
6. Landlord shall pay to Tenant’s designated broker, SVN J. Beard Real Estate – Greater Houston (“Broker”) a commission equal to four percent (4%) of the total gross rentals in accordance with a separate agreement between Landlord and Broker.

<table>
<thead>
<tr>
<th>DISCLOSURES</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. If Tenant controls the design of any modifications, Landlord shall provide any existing documents to assist Tenants architects and engineers in designing the improvements. If unavailable, Landlord shall provide (at its expense) professional services necessary to document the existing shell space configuration and document leasable area. Landlord may request Tenant to use its own architect and engineering services to document existing condition, which will be paid for by Landlord.</td>
</tr>
<tr>
<td>2. Landlord shall include lease floor plan and site plan information including drawings in their response.</td>
</tr>
</tbody>
</table>
All terms and conditions shall be subject to Tenant’s final approval. This proposal is not intended to establish any right or obligation to lease space. The terms specified herein are intended to reflect the basic business points upon which Tenant is willing to pursue the discussion of a lease agreement. Only a fully executed lease agreement between Landlord and Tenant shall constitute a binding and enforceable contract.

Attached is the TREC Information and Disclosure regarding Real Estate Agency Relationships form. Please execute this form and return the original to me, as it is required for our files.

2012 Texas Accessibility Standards (general reference)
1. Compliant parking space count for standard cars and vans and dedicated access aisles;
2. Parking spaces must be properly marked with a sign, compliant with standards;
3. Dedicated parking space needs to be in proximity to the Leased Space (considered the shortest possible route to the public access/sidewalk); and
4. Clear (unobstructed and accessible – gradient) path of travel from parking area to Leased Premises – includes aisles, ramps/curb ramps (handrails as required), walkways.
5. At least one entrance available to tenant meets 2012 TAS accessibility standards.

Hazardous Materials Clause
Landlord represents to Tenant that to Landlord’s current actual knowledge without further inquiry the Leased Premises are free from any reportable quantities of Hazardous Substances, the removal or remediation of which is required by laws or regulations in effect at this time. The term “Hazardous Substances” means (1) all chemicals or substances classified as ‘hazardous’ or ‘toxic’ under the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. 9601, et seq., The Resource and Conservation and Recovery Act, 42 U.S.C. 6901 et seq., or any other applicable federal, state, or local law or regulation, and (2) asbestos and asbestos containing products or building materials, petroleum, crude oil, or other hydrocarbons, the group of organic compounds known as polychlorinated biphenyls, flammable explosives, radioactive materials, chemicals known to cause cancer or reproductive toxicity, and also refers to lead-based paint, pollutants, effluents, contaminants, emissions or related materials that, because of their quantity, concentration or physical, chemical, or infectious characteristics, may cause or pose a present or potential hazard to human health or the environment. Landlord, at its sole cost and expense, will provide to Tenant any past independent reports reviewing the hazardous materials of the Property and surrounding property. In addition, Landlord will be responsible for all costs for removal of any hazardous materials, in the event any hazardous materials are found in or around the Leased Premises. Tenant has the right to terminate the Lease in the event that the Leased Premises are uninhabitable.
If any of the following have a financial or other substantive interest* with BakerRipley, including its employees, Board of Directors, and/or Executive Team, attach a detailed explanation of the relationship or benefit to your Proposal:

- yourself
- immediate family *
- your partner
- any organization in which any of the aforementioned have a material financial or other substantive interest**

I certify that neither I nor any of the parties described above have a conflict of interest to disclose at this time. Further, I understand that if awarded this contract, I have an obligation to report such actual or perceived conflict should it become known to me.

I certify that I have provided full disclosure of all relationships that create or may create a conflict of interest with BakerRipley in a document attached to this proposal packet. Additionally, if this proposal is to provide goods or services to Promise Community School, I have attached completed Form CIQ, Conflict of Interest Questionnaire [https://www.ethics.state.tx.us/forms/CIQ.pdf].

Name of Organization/Firm

________________________________________________________
Signature of Authorized Representative

________________________________________________________
Title of Authorized Representative

________________________________________________________
Printed Name of Authorized Representative

Date

* Substantive Interest is defined as any interest of a substantial nature, whether or not financial in nature, including membership on an organization’s governing board, acting as the agent for an organization, or employed as an officer of an organization.

**Immediate Family is defined as any person related within the second degree of affinity (marriage) or within third degree of consanguinity (blood) to the party involved. The prohibited relationships are summarized below:

- First degree of affinity = husband, wife, spouse’s father or mother, son’s wife, daughter’s husband
- Second degree of affinity = spouse’s grandfather or grandmother, spouse’s brother or sister
- First degree of consanguinity = father, mother, son, daughter
- Second degree of consanguinity = grandfather, grandmother, brother, sister, grandson, granddaughter
- Third degree if consanguinity = great grandfather, great grandmother, uncle, aunt, brother or sister’s son or daughter, great grandson, great granddaughter
CERTIFICATION REGARDING A DRUG-FREE WORKPLACE (FORM II)

This certification is required by the Federal Regulations Implementing Sections 5151-5160 of the Drug-Free Workplace Act, 41 USC 701, for the Department of Agriculture (7 CFR Part 3017), Department of Labor (29 CFR Part 98), Department of Education (34 CFR Parts 85, 668, and 682), Department of Health and Human Services (45 CFR Part 76).

The undersigned Vendor certifies that it will provide a drug-free workplace by:

1. Publishing a policy statement notifying employees that the unlawful manufacturing, distribution, dispensing, possession or use of a controlled substance is prohibited in the workplace and any associated consequences of non-compliance;

2. Establishing an on-going drug-free awareness program to inform employees of the dangers of drug abuse in the workplace, the Vendor’s policy of maintaining a drug-free workplace, the availability of counseling, rehabilitation, and employee assistance programs;

3. Providing each employee with a written copy of the Vendor’s Drug-Free policy;

4. Notifying the employees in the Vendor’s policy statement that as a condition of employment under the Contract employee shall notify the Vendor in writing within five (5) business days after a conviction for a violation by the employee of a criminal drug abuse statute in the workplace;

5. Notifying Agency within ten (10) business days of the Vendor’s receipt of notice of the conviction of an employee; and,

6. Taking appropriate personnel action against an employee convicted of violating a criminal drug statute as set forth in the Vendor’s drug-free workplace policy.

Name of Organization

__________________________________________________________
Signature of Authorized Representative

__________________________________________________________
Title of Authorized Representative

__________________________________________________________
Printed Name of Authorized Representative

Authorized Representative Date
CERTIFICATION REGARDING LOBBYING (FORM III)

This certification is required by the Federal Regulations Implementing Section 1352 of the Program Fraud and Civil Remedies Act, Title 31 U.S. Code, for the Department of Agriculture (7 CFR Part 3018), Department of Labor (29 CFR Part 93), Department of Education (34 CFR Part 82), Department of Health and Human Services (45 CFR Part 93).

The undersigned certifies, to the best of his/her knowledge and belief, that:

No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Agency, a Member of Congress, an officer or employee of Congress, or employee of a Member of Congress in connection with the awarding of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of a federal contract, grant, loan, or cooperative agreement.

If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Agency, a Member of Congress, an officer or employee of Congress, or employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, “Disclosure Form to Report Lobbying” in accordance with its instructions.

The undersigned shall require all subcontractors to certify and disclose accordingly.

________________________________________________________
Name of Organization

________________________________________________________
Signature of Authorized Representative

________________________________________________________
Title of Authorized Representative

________________________________________________________
Authorized Representative

________________________________________________________
Printed Name of Authorized Representative

Date
CERTIFICATION REGARDING TEXAS CORPORATE FRANCHISE TAX (FORM IV)

Pursuant to Article 2.45, Texas Business Corporation Act, state agencies may not contract with for-profit corporations that are delinquent in making state franchise tax payments. The following certification that the entity entering into this subcontract is current in its franchise taxes or is not subject to the payment of franchise taxes to the State of Texas must be signed by the individual authorized to sign the subcontract for the subcontracting entity.

The undersigned authorized representative of the entity subcontracting herein certifies that the following indicated statement is true and correct and that the undersigned understands making a false statement is a material breach of subcontract and is grounds for subcontract cancellation. Indicate the certification that applies to your subcontracting entity:

☐ The subcontracting entity is a for-profit corporation and certifies that it is not delinquent in its franchise tax payments to the State of Texas.

☐ The subcontracting entity is a non-profit corporation or is otherwise not subject to payment of franchise taxes to the State of Texas.

Name of Business: ________________________________

Type of Business (if not corporation):  
☐ Sole Proprietor  
☐ Partnership  
☐ Other

_________________________________________  __________________________
Signature of Authorized Representative          Date

Print Name and Title of Authorized Representative
CERTIFICATION REGARDING STATE ASSESSMENT (Form V)

Proposers must certify that they are current in all Unemployment Insurance taxes, Payday and Child Labor Law monetary obligations, and Proprietary School fees and assessments payable to the State of Texas. Proposers must also certify that they have no outstanding Unemployment Insurance overpayment balances due to the State of Texas.

The undersigned authorized representative of the corporation certifies that the following statements are true and correct and that making a false statement is a material breach of contract and grounds for contract cancellation.

The corporation certifies, by checking the boxes below, that:

☐ It is current in Unemployment Insurance taxes, Payday and Child Labor Law monetary obligations, and Proprietary School fees and assessments payable to the State of Texas.

☐ It has no outstanding Unemployment Insurance overpayment balance payable to the State of Texas.

______________________________________________
Name of Organization/Firm

______________________________________________
Signature of Authorized Representative

Date

Print Name and Title of Authorized Representative
CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS
(FORM VI)

This certification is required by the Federal Regulations Implementing Executive Order 12549, Debarment and Suspension, 45 CFR Part 93, Government-wide Debarment and Suspension, for the Department of Agriculture (7 CFR Part 3017), Department of Labor (29 CFR Part 98), Department of Education (34 CFR Parts 85, 668, 682), Department of Health and Human Services (45 CFR Part 76).

The undersigned certifies, to the best of his or her knowledge and belief, that both it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or Agency;
2. Have not within a three-year period preceding this contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under a public transaction, violation of federal or State antitrust statues or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicated for or otherwise criminally or civilly charged by a government entity with commission of any of the offense enumerated in Paragraph (2) of this certification; and,
4. Have not within a three-year period preceding this contract had one or more public transactions terminated for cause or default.

Where the prospective recipient of federal assistance funds is unable to certify to any of the statements in this certification, such prospective recipient shall attach an explanation to this certification form.

Name of Organization/Firm

______________________________
Signature of Authorized Representative	Date

______________________________
Print Name and Title of Authorized Representative
Information About Brokerage Services

Before working with a real estate broker, you should know that the duties of a broker depend on whom the broker represents. If you are a prospective seller or landlord (owner) or a prospective buyer or tenant (buyer), you should know that the broker who lists the property for sale or lease is the owner’s agent. A broker who acts as a subagent represents the owner in cooperation with the listing broker. A broker who acts as a buyer’s agent represents the buyer. A broker may act as an intermediary between the parties if the parties consent in writing. A broker can assist you in locating a property, preparing a contract or lease, or obtaining financing without representing you. A broker is obligated by law to treat you honestly.

IF THE BROKER REPRESENTS THE OWNER:
The broker becomes the owner’s agent by entering into an agreement with the owner, usually through a written listing agreement, or by agreeing to act as a subagent by accepting an offer of subagency from the listing broker. A subagent may work in a different real estate office. A listing broker or subagent can assist the buyer but does not represent the buyer and must place the interests of the owner first. The buyer should not tell the owner’s agent anything the buyer would not want the owner to know because an owner’s agent must disclose to the owner any material information known to the agent.

IF THE BROKER REPRESENTS THE BUYER:
The broker becomes the buyer’s agent by engaging into an agreement to represent the buyer, usually through a written buyer representation agreement. A buyer’s agent can assist the owner but does not represent the owner and must place the interests of the buyer first. The owner should not tell a buyer’s agent anything the owner would not want the buyer to know because a buyer’s agent must disclose to the buyer any material information known to the agent.

IF THE BROKER ACTS AS AN INTERMEDIARY:
A broker may act as an intermediary between the parties if the broker complies with The Texas Real Estate License Act.

The broker must obtain the written consent of each party to the transaction to act as an intermediary. The written consent must state who will pay the broker and, in conspicuous bold or underlined print, set forth the broker’s obligations as an intermediary. The broker is required to treat each party honestly and fairly and to comply with The Texas Real Estate License Act. A broker who acts as an intermediary in a transaction:

1. shall treat all parties honestly;
2. may not disclose that the owner will accept a price less than the asking price unless authorized in writing to do so by the owner;
3. may not disclose that the buyer will pay a price greater than the price submitted in a written offer unless authorized in writing to do so by the buyer; and
4. may not disclose any confidential information or any information that a party specifically instructs the broker in writing not to disclose unless authorized in writing to disclose the information or required to do so by The Texas Real Estate License Act or a court order or if the information materially relates to the condition of the property.

With the parties’ consent, a broker acting as an intermediary between the parties may appoint a person who is licensed under The Texas Real Estate License Act and associated with the broker to communicate with and carry out instructions of one party and another person who is licensed under that Act and associated with the broker to communicate with and carry out instructions of the other party.

If you choose to have a broker represent you, you should enter into a written agreement with the broker that clearly establishes the broker’s obligations and your obligations. The agreement should state how and by whom the broker will be paid. You have the right to choose the type of representation, if any, you wish to receive. Your payment of a fee to a broker does not necessarily establish that the broker represents you. If you have any questions regarding the duties and responsibilities of the broker, you should resolve those questions before proceeding.

Real estate licensees ask that you acknowledge receipt of this information about brokerage services for the licensee’s records.

Buyer, Seller, Landlord or Tenant

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Texas Real Estate Brokers and Salespersons are licensed and regulated by the Texas Real Estate Commission (TREC). If you have a question or complaint regarding a real estate licensee, you should contact TREC at P.O. Box 12188, Austin, Texas 78711-2188 or 1-800-540-5689.

PREPARED BY: Connie Rankin, President
Information About Brokerage Services

090. Texas Real Estate Commission